



02-11-20

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. M-11154-3C US

First Named Inventor or Application Identifier Simon K. C. Yung

Title Breadmaker With Improved Temperature And Humidity Control

Express Mail Label No EV 052 131 911 US

PTO SB 05 (1-98)

Approved for use through 09-30-00 OM 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*
(Submit an original, and a duplicate for fee processing)

2. Application:

- ☒ Specification: (preferred arrangement set forth below)

Descriptive title of the invention,
Cross References to Related Applications,
Reference to Microfiche Appendix,
Background of the Invention,
Brief Summary of the Invention,
Brief Description of the Drawings, and
Detailed Description (all totaling 13 pages)
Appendix(ies) ___, ___, & ___ (___ pages)

- ☒ Claim(s) 4 pages

- ☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]

4. Oath or Declaration ☐ unsigned [Total Pages ___]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from prior application (37 CFR §1.63(d))
(for continuation divisional with Box 17 completed)

- c. ☐ DECLARATION OF INVENTOR(S)

(Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.23(b))

5. ☒ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix consisting of ___ pages of microfiche containing ___ frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) ___ pages

9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney
(combined when there is an with Patent Declaration
Assignee) above.)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS) & ☐ PTO-1449 ☐ ___ Copies of IDS
Citations/References

12. ☒ Preliminary Amendment 3 pages

13. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

14. Small Entity Status

- ☐ Small Entity Statement Enclosed ___ pages

- ☐ Statement filed in prior application; and
status still proper and desired

- ☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other:

- ☐ Copy of Petition for Extension of Time filed in parent appl.:

- ☐

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☐ Continuation ☐ Divisional of prior application No. 09/484,386

Filed on January 14, 2000, entitled: BREADMAKER WITH IMPROVED TEMPERATURE AND HUMIDITY CONTROL

PRIOR APPLICATION INFORMATION: Examiner H. Mai Group Art Unit 1761

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label



or ☒ Correspondence address below

27869

PATENT TRADEMARK OFFICE

Name	Philip Yau		Reg. No. 32,892	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP			
Address	Three Embarcadero Center, 28 th Floor			
City	San Francisco	State	CA	Zip Code 94111
Country:	United States	Telephone	(415) 217-6000	Fax (415) 434-0646

Atty. Dkt. No. M-11154-3C US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
27	TOTAL CLAIMS (37 CFR 1.16(c))	20	7	x \$18	\$ 126.00
3	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	0	x \$84	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))			x \$280.00	
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations	\$ 866.00
				Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	
				TOTAL	\$ 866.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

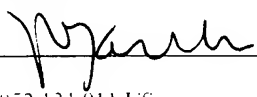
22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP Three Embarcadero Center, 28 th Floor San Francisco, CA 94111 Tel. (415) 217-6000 Fax. (415) 434-0646	
Date:	February 6, 2002
Name	Philip Yau Reg. No. 32,892
Signature	
Express Mail Label No.	EV 052 131 911 US